

# Application for a Debit Card

## Limited Company/Partnership/Sole Trader

FOR OFFICE USE:

Scheme Code

Name of Business

Account Number & Sort Code

Correspondence Address

Post Code

Name of Authorised Signatory for Card to be issued to (only one card can be issued per customer)

Name to be displayed on Debit Card (Not more than 23 characters)

Date of Birth (DD/MM/YYYY)

Nationality

Mother's maiden name  
(required for security purposes)

Business Telephone Number

Business Mobile Number

(By giving us your mobile number you have agreed to register for MasterCard secure code for using your debit card for internet based online payments.)

Email Address

Address

Post Code

I/We declare, confirm and agree:

- a) live read and understood the terms and conditions of the debit card as available on [www.sbiuk.com](http://www.sbiuk.com) which can be read and printed
- b) that all the particulars and information given in this application form (and all documents referred to or provided herewith) are true, correct, complete up-to-date in all respects and I/we have not withheld any information. I/We understand that certain particulars given by me/us are required for regulatory reasons. I/We also agree to provide any further information that SBI UK or its group companies may require; and
- c) that I/we have had no insolvency proceedings initiated against me/us, nor have I/we ever been adjudicated insolvent I/we have no County Court Judgements registered against me/us; and
- d) that I/we are not blacklisted under Disqualified Director Register or adjudicated/convicted in any criminal proceedings under any criminal law.

I/we agree all the details in the general terms & conditions relating to my/our account including the board resolution, the Tele-communications Authorisation and the Data Protection notice.

I/We also agree to notify immediately if I/We cease to hold any position in the company or if I/We cease to be authorised by the Company to use the card.

Business Telephone Number

Business Mobile Number

Authorised Signatories

Authorised Signatories

Date

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Please turn over

Please fill in the form using BLOCK CAPITALS and black ink. Tick any boxes which apply.

## Entity tax residency self-certification form instructions

### Please read these instructions before completing the form.

"Regulations based on the OECD Common Reporting Standard ("CRS")" State Bank of India (UK) Limited to collect and report certain information about an account holder's tax residency. If your tax residence (or the account holder, if you are completing the form on their behalf) is located outside United Kingdom, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to HMRC. You can find definitions of who is classified as an account holder, and other terms, in the Appendix.

This form will remain valid unless there is a change in circumstances relating to the account holder's tax status or other mandatory fields included on this form. You must notify us of a change in circumstances that makes the information in this self-certification incorrect or incomplete and provide an updated self-certification.

This form is intended to request information only where such request is not prohibited by local law.

### Please complete this form where you need to self-certify on behalf of an entity account holder.

If you are an individual account holder or sole trader or sole proprietor do not complete this form. Instead please complete an "Individual tax residency self-certification form."

For joint or multiple account holders please complete a separate form for each account holder.

If the Account Holder is a "US Person" under US Internal Revenue Service ("IRS") regulations, an IRS Form W-9 may also need to be completed.

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### Where the Account Holder is a Passive NFE, or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution

Please provide information on the natural person(s) who exercise control over the Account Holder (individuals referred to as "Controlling Person(s)") by completing a "Controlling Person tax residency self-certification section" for each Controlling Person. This information should also be provided by all Investment Entities located in a Non-Participating Jurisdiction and managed by another Financial Institution.

### If you are completing the form on the Account Holder's behalf,

Then you should indicate the capacity in which you have signed in Part 4. For example you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a signatory authority or power of attorney.

### As a financial institution, we are not allowed to give tax advice.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested, on the OECD automatic exchange of information portal.

## Entity Tax Residency self-certification

### Identification of Account Holder

Legal Name of Entity/Branch\*:


Country of incorporation or organisation:


Entity Type: (Please provide the account Holder’s Status by ticking one of the following boxes)

1. (A) Financial Institution - Investment Entity

(i) An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution ☐

(Note: if ticking this box please also complete Part 2 below)

(ii) Other Investment Entity ☐

(B) Financial Institution

Depository Institution, Custodial Institution or Specific Insurance Company ☐

If you have ticked A or B above, please provide, if held, the Account Holder’s Global Intermediary Identification Number (“GIIN”) obtained for FACTA purposes.

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(C) Active NFE

A corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation ☐

If you have ticked (C), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (C) is a related Entity of:

(D) Active NFE

A Government Entity or Central Bank ☐

(E) Active NFE

An International Organisation ☐

(F) Active NFE

Other than (C) -(E) (For example a start-up NFE or a non-profit NFE) ☐

(G) Passive NFE

(Note: If ticking this box please also complete Part 2(2) Below ☐

2. If you have ticked 1 (A)(i) or 1 (G) above, then please:

(A) Indicate the name of any controlling Person(s) of the Account Holder:

(B) Complete “Controlling Person tax residency self-certification section” below for each Controlling person.”

Note: If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official. (See definition of controlling Person in Appendix)

Self-Certification for Controlling Persons

Tax Residency

Tax regulations require us to collect information about each Controlling Person’s tax residency. In certain circumstances we may be obliged to share information about your Controlling Persons with Her Majesty’s Revenue and Customs (HMRC) who may in turn share the information with any or all participating tax jurisdictions.

Please indicate all countries in which you are a Controlling Persons are resident for tax purposes and their associated Tax Identification Numbers in the table below. If they are also a US citizen the United States must be included in this table along with their US Tax Identification Number(s). If you have any questions about tax residency, please contact your tax adviser. If you are completing this section on behalf of your Controlling Persons it is your responsibility to ensure they are aware that their information may be shared as described above.

All information is mandatory for Controlling Persons

Full Name of Controlling Person (CP) and Permanent Residence Address (including Country)	Date of Birth (dd/mm/yyyy)	CP Type***	Country(ies) of Tax Residency*	Tax Identification Number**

## Self-Certification for Controlling Persons (Continued)

Full Name of Controlling Person (CP) and Permanent Residence Address (including Country)	Date of Birth (dd/mm/yyyy)	CP Type***	Country(ies) of Tax Residency*	Tax Identification Number**

\*In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency.

\*\*The Tax Identification Number is issued by your local tax authority. For example, in the UK this is usually your National Insurance Number.

\*\*\*You must select one number from the table below for each Controlling Person to indicate the type of Controlling Person

### Type of Controlling Person

CP Type	Description of Controlling Person
801	CP of Legal Person - Ownership
802	CP of Legal Person - Other means
803	CP of Legal Person - Senior Managing official
804	CP of Legal arrangement - Trust - settlor
805	CP of Legal arrangement - Trust - trustee
806	CP of Legal arrangement - Trust - protector
807	CP of Legal arrangement - Trust - beneficiary
808	CP of Legal arrangement - Trust - other
809	CP of Legal arrangement - Trust - settler-equivalent
810	CP of Legal arrangement - Trust - trustee-equivalent
811	CP of Legal arrangement - Trust - protector-equivalent
812	CP of Legal arrangement - Trust - beneficiary-equivalent
813	CP of Legal arrangement - Trust - other-equivalent

# Declarations and Signature\*

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with State Bank of India (UK) Ltd. setting out how State Bank of India (UK) Ltd. may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Controlling Person and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which [I/the Controlling Person] may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Controlling Person, or am authorised to sign for the

## Name & Signature of authorised signatory #1

Signature:

Date:

Controlling Person, of all the account(s) held by the entity Account Holder to which this form relates.

**I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.**

I undertake to advise State Bank of India (UK) Limited within [XX] days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide State Bank of India (UK) Limited with a suitably updated self-certification and Declaration within [up to XX] days of such change in circumstances.

I understand that the State Bank of India (UK) Limited will process personal data supplied by me in relation to this application in accordance with the General Data Protection Regulation and any national implementing laws, regulations and secondary legislation, as amended or updated from time to time. The personal data supplied will be processed solely for the purpose of assessing this application and at all times in accordance with the State Bank of India (UK) Limited's Privacy Policy, a copy of which can be found here: [www.sbiuk.com/footer/bottomfooter/privacy-policy](http://www.sbiuk.com/footer/bottomfooter/privacy-policy)

Note: If you are not the Controlling Person please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity: \*

## Appendix - Definitions

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS"), the associated Commentary to the CRS, and domestic guidance. This can be found at the following link [OECD].

If you have any questions then please contact your tax adviser or domestic tax authority.

"Account Holder" The term "Account Holder" means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

"Active NFE" An NFE is an Active NFE if it meets any of the criteria listed below. In summary, those criteria refer to:

- active NFEs by reason of income and assets;
- publicly traded NFEs;
- Governmental Entities, International Organisations, Central Banks, or their wholly owned Entities;
- holding NFEs that are members of a non-financial group;
- start-up NFEs;
- NFEs that are liquidating or emerging from bankruptcy;
- treasury centers that are members of a non-financial group; or
- non-profit NFEs.

An entity will be classified as Active NFE if it meets any of the following criteria:

a) less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;

b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;

c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;

d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

e) the NFE is not yet operating a business and has no prior operating history, (a "start-up NFE") but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;

f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;

g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or

h) the NFE meets all of the following requirements (a "non-profit NFE");

i) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce,

## Appendix - Definitions *(Continued)*

labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;

ii) it is exempt from income tax in its jurisdiction of residence;

iii) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

iv) the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

v) the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision.

"Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest (typically on the basis of a certain percentage (e.g. 25%)) in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person or persons are identified as exercising control of the Entity through ownership interests, the Controlling Person of the Entity is deemed to be the natural person who holds the position of senior managing official.

"Controlling Person" This is a natural person who exercises control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("NFE") then a Financial Institution must determine whether such Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" as described in Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012).

Controlling Persons of a trust, means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership). The settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(ies) or class(es) of beneficiaries, must always be treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then the CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust.

In the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions.

"Entity" The term "Entity" means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

"Financial Account" A Financial Account is an account maintained by a

Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

"Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution" is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not resident in, or a branch located in, a Participating Jurisdiction.

"Investment Entity managed by another Financial Institution"

An Entity is "managed by" another Entity if the managing Entity performs, either directly or through another service provider on behalf of the managed Entity, any of the activities or operations described in clause (i) above in the definition of 'Investment Entity'.

An Entity only manages another Entity if it has discretionary authority to manage the other Entity's assets (either in whole or part). Where an Entity is managed by a mix of Financial Institutions, NFEs or individuals, the Entity is considered to be managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity, if any of the managing Entities is such another Entity.

"Participating Jurisdiction" A Participating Jurisdiction is a jurisdiction with which an agreement is in place pursuant to which it will provide the information set out in the Common Reporting Standard.

"Passive NFE" Under the CRS a "Passive NFE" means any NFE that is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution is also treated as a Passive NFE for purposes of the CRS.

"Reportable Account" The term "Reportable Account" means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

"Reportable Jurisdiction" A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

"Reportable Person" A Reportable Person is an individual (or entity) that is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction. The Account Holder will normally be the "Reportable Person"; however, in the case of an Account Holder that is a Passive NFE, a Reportable Person also includes any Controlling Persons who are tax resident in a Reportable Jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

"TIN" (including "functional equivalent") the term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link [OECD Portal.] Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

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